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21898 7390 11/17/2004

ROHM AND HAAS COMPANY
PATENT DEPARTMENT
100 INDEPENDENCE MALL WEST
PHILADELPHIA, PA 19106-2399

12/16/2004 SDIRETA2 00000021 181850 10840020

01 FC:1501
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Geoffrey R. Knauth (Depositor's Name)
Geoffrey R. Knauth (Signature)
Dec. 16, 2004 (Date)

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10840,020 | 05/06/2004 | Eric G. Lundquist | A01501 | 9631 |

TITLE OF INVENTION: HIGH SOLIDS PROCESS FOR PREPARING POLYMERIC NANOPARTICLES

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO | \$1370 | \$300 | \$1670 | 02/17/2005 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|--------------------|----------|----------------|
| ZALUKAEVA, TATYANA | 1713 | 428-402210 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Joanne P. Will
2. Richard R. Clikeman
3. Thomas S. Deibert

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

ROHM AND HAAS COMPANY

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

PHILADELPHIA, PENNSYLVANIA 19106 USA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(b)(2).

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Authorized Signature

Typed or printed name

Thomas S. Deibert

Date December 16, 2004

Registration No. 40,984

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ROHM AND HAAS COMPANY
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DN A01501

| | | |
|--|---|------------------------------|
| In re: Eric G. Lundquist et al. | : | Group Art Unit: 1713 |
| Application Serial No. 10/840,020 | : | Examiner: Zalukaeva, Tatyana |
| Date Filed: May 6, 2004 | : | Confirmation No. 9631 |
| For: High Solids Process for Preparing Polymeric Nanoparticles | : | |

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I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office on the date shown below:

Fee Transmittal

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Signature

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